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| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 1 of 11 | APPLICATION SERIAL NO.: 10/645,473 | ATTY DKT NO.: 38349-0121 | CONFIRM NO.: 8003 |
| | APPLICANTS: Hendricksen <i>et al.</i> | | CUSTOMER NO.: 24961 |
| | FILING DATE: August 20, 2003 | | GROUP ART UNIT: 2855 |

U.S. PATENT DOCUMENTS

| † EX'R INITIAL | REF. # | PATENT NUMBER | DATE | NAME | U.S. CLASS/ SUBCLASS | FILING DATE (If appropriate) |
|-------------------|--------|-------------------|----------|---------------------------|-------------------------|---------------------------------|
| Ad | A | 2003/0018327 (A1) | 01/23/03 | Truckai <i>et al.</i> | 606/32 | 07/18/02 |
| | B | 2003/0018344 (A1) | 01/23/03 | Kaji <i>et al.</i> | 606/130 | 07/19/02 |
| | C | 2003/0050648 (A1) | 03/13/03 | Alfemess <i>et al.</i> | 608/108 | 09/11/01 |
| | D | 2003/0083671 (A1) | 05/01/03 | Rimbaugh <i>et al.</i> | 606/108 | 10/25/01 |
| | E | 2003/0181922 (A1) | 09/25/03 | Alfemess | 606/108 | 03/20/02 |
| | F | 2003/0183235 (A1) | 10/02/03 | Rimbaugh <i>et al.</i> | 128/207.15 | 04/17/03 |
| | G | 2002/0138135 (A1) | 09/26/02 | Duerig <i>et al.</i> | 623/1.24 | 03/21/01 |
| | H | 2002/0112729 (A1) | 08/22/02 | DeVore <i>et al.</i> | 128/207.15 | 02/21/01 |
| | I | 2002/0111620 (A1) | 08/15/02 | Cooper <i>et al.</i> | 606/41 | 09/04/01 |
| | J | 2002/0111619 (A1) | 08/14/02 | Keast <i>et al.</i> | 606/41 | 09/04/01 |
| | K | 2002/0095209 (A1) | 07/18/02 | Zadno-Azizi <i>et al.</i> | 623/1.24 | 02/08/02 |
| | L | 2002/0087153 (A1) | 07/04/02 | Roschak <i>et al.</i> | 606/27 | 09/04/01 |
| | M | 2002/0077696 A1 | 06/20/02 | Zadno-Azizi <i>et al.</i> | 623/1.24 | 02/21/02 |
| | N | 2002/0077593 (A1) | 06/20/02 | Perkins <i>et al.</i> | 604/96.01 | 02/11/02 |
| | O | 2002/0062120 (A1) | 05/23/02 | Perkins <i>et al.</i> | 604/516 | 12/13/01 |
| | P | 2002/0026233(A1) | 02/28/02 | Shaknovich | 623/1.24 | 03/20/01 |
| | Q | 2002/0007831(A1) | 1/24/02 | Davenport <i>et al.</i> | 128/200.24 | 07/18/01 |
| | R | 2001/0056274 (A1) | 12/27/01 | Perkins <i>et al.</i> | 604/516 | 07/02/01 |
| | S | 2001/0052344 (A1) | 12/20/01 | Doshi | 128/207 | 06/14/01 |
| | T | 2001/0051799 (A1) | 12/13/01 | Ingenito | 604/516 | 04/20/01 |
| ↓ | U | 2001/0041906 (A1) | 11/15/01 | Gonzalez | 606/191 | 07/24/01 |
| Ad | V | 2001/0037808 A1 | 11/08/01 | Deem <i>et al.</i> | 128/200.24 | 03/02/01 |

EXAMINER'S SIGNATURE

/Annette Dixon/ (10/12/2006)

DATE
CONSIDERED

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SD 634134 v1



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| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 2 of 11 | APPLICATION SERIAL NO.: 10/645,473 | ATTY DKT NO.: 38349-0121 | CONFIRM NO.: 8003 |
| | APPLICANTS: Hendricksen <i>et al.</i> | CUSTOMER NO.: 24961 | |
| | FILING DATE: August 20, 2003 | GROUP ART UNIT: 2855 | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|----|-------------------|----------|-------------------------|------------|----------|
| AD | W | 2001/0025132 (A1) | 09/27/01 | Alferness <i>et al.</i> | 600/37 | 02/09/01 |
| * | X | 2,981,254 | 04/25/61 | Vanderbilt | 128/350 | 11/12/57 |
| * | Y | 3,657,744 | 04/25/72 | Ersek | 128/334 | 05/08/70 |
| * | Z | 3,788,327 | 01/29/74 | Donowitz <i>et al.</i> | 128/350 | 03/30/71 |
| * | AA | 3,874,388 | 04/01/75 | King <i>et al.</i> | 128/334 | 02/12/73 |
| * | AB | 4,014,318 | 03/29/77 | Dockum <i>et al.</i> | 128/1 | 05/22/75 |
| * | AC | 4,086,665 | 05/02/78 | Poirier | 623/1 | 12/16/76 |
| * | B | 4,212,463 | 07/15/80 | Repinski <i>et al.</i> | 273/418 | 02/17/78 |
| * | AD | 4,250,873 | 02/17/81 | Bonnet | 600/104 | 04/17/78 |
| * | AE | 4,302,854 | 12/01/81 | Runge | 3/1.7 | 06/04/80 |
| * | AF | 4,477,930 | 10/23/84 | Totten <i>et al.</i> | 3/1.5 | 09/28/82 |
| * | AG | 4,710,192 | 12/01/87 | Liotta <i>et al.</i> | 623/1 | 10/17/86 |
| * | AH | 4,732,152 | 03/22/88 | Wallsten <i>et al.</i> | 128/343 | 12/5/85 |
| * | AI | 4,759,758 | 07/26/88 | Gabbay | 623/2 | 12/07/84 |
| * | AJ | 4,795,449 | 01/03/89 | Schneider <i>et al.</i> | 604/329 | 08/04/86 |
| * | AK | 4,808,183 | 02/28/89 | Panje | 623/9 | 06/03/80 |
| * | AL | 4,819,664 | 04/11/89 | Nazari | 128/207.15 | 02/17/88 |
| * | AM | 4,830,003 | 05/16/89 | Wolff <i>et al.</i> | 128/343 | 06/17/88 |
| * | AN | 4,832,680 | 05/23/89 | Haber <i>et al.</i> | 600/31 | 07/03/86 |
| ↓ | AO | 4,846,836 | 07/11/89 | Reich | 623/11 | 10/03/88 |
| ↓ | AP | 4,850,999 | 07/25/89 | Planck | 623/1 | 05/26/81 |
| AD | AQ | 4,852,568 | 08/01/89 | Kensey | 128/325 | 12/28/87 |

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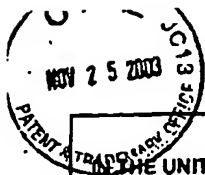
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|--|--|-----------------------------|-------------------------|
| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 3 of 11 | APPLICATION SERIAL NO.: 10/645,473 | ATTY DKT NO.: 38349-0121 | CONFIRM NO.: 8003 |
| | APPLICANTS: Hendricksen <i>et al.</i> | | CUSTOMER NO.: 24961 |
| | FILING DATE: August 20, 2003 | | GROUP ART UNIT: 2855 |
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| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|----|-----------|----------|---------------------------|------------|----------|
| AD | AR | 4,877,025 | 10/31/89 | Hanson | 128/107.16 | 10/06/88 |
| | AS | 4,934,999 | 06/19/90 | Bader | 600/29 | 07/28/88 |
| | AT | 4,968,294 | 11/06/90 | Salama | 600/30 | 02/09/89 |
| | AU | 5,061,274 | 10/29/91 | Kensey | 606/213 | 12/04/89 |
| | AV | 5,116,360 | 05/26/92 | Pinchuk <i>et al.</i> | 623/1 | 12/27/90 |
| | AW | 5,116,564 | 05/26/92 | Jansen <i>et al.</i> | 264/255 | 10/10/89 |
| | AX | 5,123,919 | 06/23/92 | Sauter <i>et al.</i> | 623/2 | 06/23/92 |
| | AY | 5,151,105 | 09/29/92 | Kwan-Gett | 623/1 | 10/07/91 |
| | AZ | 5,161,524 | 11-92 | Evans | 128/203.15 | |
| | BA | 5,306,234 | 04/26/94 | Johnson | 604/49 | 03/23/93 |
| | BB | 5,352,240 | 10/04/94 | Ross | 623/2 | 05/31/89 |
| | BC | 5,358,518 | 10/25/94 | Camilli | 623/2 | 01/25/93 |
| | BD | 5,366,478 | 11/22/94 | Brinkerhoff <i>et al.</i> | 660/213 | 07/27/93 |
| | BE | 5,382,261 | 01/17/95 | Palmaz | 606/158 | 09/01/92 |
| | BF | 5,392,775 | 02/28/95 | Adkins <i>et al.</i> | 128/207.16 | 03/22/94 |
| | BG | 5,409,019 | 04/25/95 | Wilk | 128/898 | 11/03/93 |
| | BH | 5,411,507 | 05/02/95 | Heckele | 606/108 | 01/05/94 |
| | BI | 5,411,552 | 05/02/95 | Andersen <i>et al.</i> | 623/2 | 06/14/94 |
| | BJ | 5,413,599 | 05/09/95 | Imachi <i>et al.</i> | 623/2 | 12/13/93 |
| ✓ | BK | 5,417,226 | 05/23/95 | Juma | 128/885 | 06/09/94 |
| | BL | 5,445,626 | 08/29/95 | Gigante | 604/349 | 06/03/94 |
| AD | BM | 5,486,154 | 01/23/96 | Kelleher | 600/104 | 06/08/93 |

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| THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 4 of 11 | APPLICATION SERIAL NO.: 10/645,473 | ATTY DKT NO.: 38349-0121 | CONFIRM NO.: 8003 |
| | APPLICANTS: Hendricksen <i>et al.</i> | CUSTOMER NO.: 24961 | |
| | FILING DATE: August 20, 2003 | GROUP ART UNIT: 2855 | |

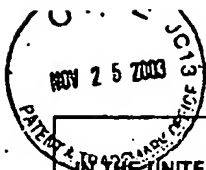
| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|----|-----------|----------|---------------------------|------------|----------|
| AD | BN | 5,499,995 | 03/19/96 | Teirstein | 606/192 | 05/25/94 |
| | BO | 5,500,014 | 03/19/96 | Quijano <i>et al.</i> | 623/2 | 05/09/96 |
| | BP | 5,562,608 | 10/08/96 | Sekins <i>et al.</i> | 604/20 | 04/13/95 |
| | BQ | 5,645,565 | 07/08/97 | Rudd <i>et al.</i> | 606/213 | 06/13/93 |
| | BR | 5,660,175 | 08/26/97 | Dayal | 128/207.15 | 08/21/95 |
| | BS | 5,662,713 | 09/02/97 | Andersen <i>et al.</i> | 623/12 | 09/14/95 |
| | BT | 5,683,451 | 11/04/97 | Lenker <i>et al.</i> | 623/1 | 06/07/95 |
| | BU | 5,697,968 | 12/16/97 | Rogers <i>et al.</i> | 623/1 | 08/10/95 |
| | BV | 5,755,770 | 5/26/98 | Ravenscroft | 623/1 | 01/31/95 |
| | BW | 5,800,339 | 09/01/98 | Salama | 600/29 | 05/02/97 |
| | BX | 5,840,081 | 11/24/98 | Andersen <i>et al.</i> | 623/2 | 02/19/97 |
| | BY | 5,851,232 | 12/22/98 | Lois | 623/1 | 3/13/97 |
| | BZ | 5,855,587 | 01/05/99 | Hyon <i>et al.</i> | 606/188 | 08/22/96 |
| | CA | 5,855,597 | 01/5/99 | Jayaraman | 623/1 | 05/07/97 |
| | CB | 5,855,601 | 01/05/99 | Bessler <i>et al.</i> | 623/2 | 06/21/96 |
| | CC | 5,944,738 | 08/31/99 | Amplatz <i>et al.</i> | 606/213 | 02/06/98 |
| | CD | 5,947,997 | 09/07/99 | Pavcnik <i>et al.</i> | 606/213 | 06/30/97 |
| | CE | 5,954,766 | 09/21/99 | Zadno-Azizi <i>et al.</i> | 623/2 | 09/16/97 |
| | CF | 5,957,949 | 09/28/99 | Leonhardt <i>et al.</i> | 606/194 | 05/01/97 |
| ✓ | CG | 5,976,174 | 11/02/99 | Ruiz | 606/213 | 12/15/97 |
| | CH | 5,984,965 | 11/16/99 | Knapp <i>et al.</i> | 623/12 | 08/28/97 |
| AD | CI | 6,007,575 | 12/28/99 | Samuels | 623/1 | 06/06/97 |

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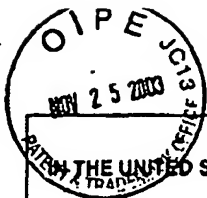
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| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 5 of 11 | APPLICATION SERIAL NO.: 10/645,473 | ATTY DKT NO.: 38349-0121 | CONFIRM NO.: 8003 |
| | APPLICANTS: Hendricksen <i>et al.</i> | CUSTOMER NO.: 24961 | |
| | FILING DATE: August 20, 2003 | GROUP ART UNIT: 2855 | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|----|----------------|----------|-------------------------|-----------|----------|
| AD | CJ | 6,009,614 | 01/04/00 | Morales | 29/516 | 04/21/98 |
| | CK | 6,020,380 | 02/01/00 | Killian | 514/570 | 11/25/98 |
| | CL | 6,022,312 | 02/08/00 | Chaussy <i>et al.</i> | 600/29 | 02/20/98 |
| | CM | 6,027,525 | 02/22/00 | Suh <i>et al.</i> | 623/1 | 05/23/97 |
| | CN | 6,051,022 | 04/18/00 | Cai <i>et al.</i> | 623/2 | 12/30/98 |
| | CO | 6,068,635 | 05/30/00 | Gianotti | 606/108 | 06/04/98 |
| | CP | 6,068,638 | 05/30/00 | Makower | 606/159 | 10/27/98 |
| | CQ | 6,077,291 | 06/20/00 | Das | 606/213 | 11/26/96 |
| | CR | 6,083,255 | 07/04/00 | Laufer <i>et al.</i> | 607/96 | 12/19/97 |
| | CS | 6,123,663 | 09/26/00 | Rebuffat | A61F2/00 | 12/23/98 |
| | CT | 6,135,729 | 10/24/00 | Aber | 417,420 | 07/09/99 |
| | CU | 6,135,991 | 10/24/00 | Muni <i>et al.</i> | 604/509 | 03/27/98 |
| | CV | 6,141,855 | 11/07/00 | Morales | 29/516 | 04/28/98 |
| | CW | 6,162,245 | 12/19/00 | Jayaraman | 623/1.15 | 01/05/99 |
| | CX | 6,168,614 (B1) | 01/02/01 | Andersen <i>et al.</i> | 623/1 | 02/20/98 |
| | CY | 6,174,323 (B1) | 01/16/01 | Biggs <i>et al.</i> | 606/232 | 06/05/98 |
| | CZ | 6,183,520 (B1) | 02/06/01 | Pintauro <i>et al.</i> | 623/23.64 | 11/10/98 |
| | DA | 6,200,333 (B1) | 03/13/01 | Laufer | 607/96 | 12/31/98 |
| | DB | 6,206,918 (B1) | 03/27/01 | Campbell <i>et al.</i> | 623/2.32 | 05/12/99 |
| | DC | 6,234,996 (B1) | 05/22/01 | Bagaoisan <i>et al.</i> | 604/97.01 | 06/23/99 |
| | DD | 6,240,615 (B1) | 06/05/01 | Kimes <i>et al.</i> | 29/516 | 09/30/99 |
| ↓ | DE | 6,245,102 (B1) | 06/12/01 | Jayaraman | 623/1.15 | 07/21/99 |
| | DF | 6,258,100 | 07/11/01 | Alfemess <i>et al.</i> | 606/108 | 10/10/00 |
| AD | DG | 6,270,527 (B1) | 08/07/01 | Campbell <i>et al.</i> | 623/2.18 | 10/16/98 |

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

FORM PTO-1449

Sheet 6 of 11

APPLICATION SERIAL NO.:

10/645,473

ATTY DKT NO.:

38349-0121

CONFIRM NO.:

8003

APPLICANTS:

Hendricksen *et al.*

CUSTOMER NO.:

24961

FILING DATE:

August 20, 2003

GROUP ART UNIT:

2855

U.S. PATENT DOCUMENTS

| | | | | | | |
|----|----|----------------|----------|---------------------------|------------|----------|
| AD | DH | 6,287,290 (B1) | 09/11/01 | Perkins <i>et al.</i> | 604/516 | 07/02/99 |
| * | DI | 6,293,951 (B1) | 09/25/01 | Alfemess <i>et al.</i> | 606/108 | 08/24/99 |
| * | DJ | 6,302,893 (B1) | 10/16/01 | Limon <i>et al.</i> | 606/108 | 06/19/00 |
| * | DK | 6,312,407 (B1) | 11/06/01 | Zadno-Azizi <i>et al.</i> | 604/103.03 | 02/19/98 |
| * | DL | 6,325,777 (B1) | 12/04/01 | Zadno-Azizi <i>et al.</i> | 604/97.01 | 10/01/99 |
| * | DM | 6,325,778 (B1) | 12/04/01 | Zadno-Azizi <i>et al.</i> | 604/99.02 | 01/01/99 |
| * | DN | 6,327,772 (B1) | 12/11/01 | Zadno-Azizi <i>et al.</i> | 29/557 | 04/13/99 |
| * | DO | 6,328,689 (B1) | 12/11/01 | Gonzalez | 600/37 | 03/23/00 |
| * | DP | 6,355,014 (B1) | 03/12/02 | Zadno-Azizi <i>et al.</i> | 604/99.02 | 03/13/98 |
| * | DQ | 6,398,775 (B1) | 06/04/02 | Perkins <i>et al.</i> | 604/514 | 10/21/99 |
| * | DR | 6,402,754 (B1) | 06/11/02 | Gonzalez | 606/69 | 10/20/99 |
| * | DS | 6,416,554 (B1) | 07/09/02 | Alfemess <i>et al.</i> | 623/23.65 | 08/24/99 |
| * | DT | 6,458,076 | 10/01/02 | Pruitt | 600/146 | 02/01/00 |
| * | DU | 6,485,407 (B2) | 11/26/02 | Alfemess <i>et al.</i> | 600/37 | 02/09/01 |
| * | DV | 6,491,706 (B1) | 12/10/02 | Alfemess <i>et al.</i> | 606/157 | 07/10/01 |
| * | DW | 6,493,589 (B1) | 12/10/02 | Medhkour <i>et al.</i> | 607/99 | 08/10/00 |
| AD | DX | 6,510,846 (B1) | 01/28/03 | O'Rourke | 128/200.21 | 10/26/00 |
| AD | DY | 6,527,761 (B1) | 03/04/03 | Soltesz <i>et al.</i> | 604/516 | 10/27/00 |

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/Annette Dixon/ (10/12/2006)

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INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

FORM PTO-1449

Sheet 7 of 11

APPLICATION SERIAL NO.:
10/645,473

ATTY DKT NO.:
38349-0121

CONFIRM NO.:
8003

APPLICANTS:
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|-------------------|--------|---------------|----------|---------|-------------------------|
| AD | DZ | 00/15149 | 03/23/00 | PCT | |
| * | EA | 00/42950 | 07/27/00 | PCT | |
| * | EB | 00/51510 | 09/08/00 | PCT | |
| * | EC | 00/62699 | 10/26/00 | PCT | |
| * | ED | 00/78386 (A1) | 12/28/00 | PCT | |
| * | EE | 00/78407 (A1) | 12/28/00 | PCT | |
| * | EF | 01/02042 (A1) | 01/11/01 | PCT | |
| * | EG | 01/03642 (A1) | 01/18/01 | PCT | |
| * | EH | 01/05334 (A1) | 01/25/01 | PCT | |
| * | EI | 01/10313 (A1) | 02/15/01 | PCT | |
| * | EJ | 01/10314 (A2) | 02/15/01 | PCT | |
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EXAMINER'S SIGNATURE

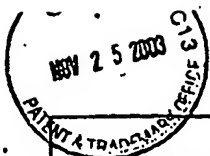
/Annette Dixon/ (10/12/2006)

DATE
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|--|--|-----------------------------|----------------------|
| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 8 of 11 | APPLICATION SERIAL NO.: 10/645,473 | ATTY DKT NO.: 38349-0121 | CONFIRM NO.: 8003 |
| | APPLICANTS: Hendricksen <i>et al.</i> | CUSTOMER NO.: 24961 | |
| | FILING DATE: August 20, 2003 | GROUP ART UNIT: 2855 | |

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| EXAMINER'S SIGNATURE /Annette Dixon/ (10/12/2006) | DATE CONSIDERED |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.:

10/645,473

ATTY DKT NO.:

38349-0121

CONFIRM NO.:

8003

APPLICANTS:

Hendricksen *et al.*

CUSTOMER NO.:

24961

FILING DATE:

August 20, 2003

GROUP ART UNIT:

2855

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|---|--|-----------------------------|-------------------------|
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| | APPLICANTS: Hendricksen <i>et al.</i> | | CUSTOMER NO.: 24961 |
| | FILING DATE: August 20, 2003 | | GROUP ART UNIT: 2855 |

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| AD | GK | 99/64109 (A1) | 12/16/99 | PCT | |

x English Language Derwent is enclosed.
x+ English Language Equivalent is enclosed.

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| AD | GN | Derwent citing Russian Patent No. RU 2140211, published 10/27/99, for: "Method of surgical treatment of patients with pathology of respiratory organs complicated with pulmonary hemorrhages" |

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| EXAMINER'S SIGNATURE /Annette Dixon/ (10/12/2006) | DATE CONSIDERED |
| <p>† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. Include copy of this form in next communication to applicant.</p> <p>* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).</p> <p>SD 634134 v1 11/24/03 2:52 PM (38349.0121C)</p> | |



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INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.:

10/645,473

ATTY DKT NO.:

38349-0121

CONFIRM NO.:

8003

APPLICANTS:

Hendricksen *et al.*

CUSTOMER NO.:

24961

FILING DATE:

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GROUP ART UNIT:

2855

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